



## Status of Safety Management System Reporting Form

### 1. Purpose

To inform the DSC of the status of the dam *safety management system* in place for the named dam at the date of reporting in terms of the *normal* DSC requirements. Include the completed form in the *surveillance report* for the dam.

For Type III surveillance reports only the underlined items require completion.



### 2. Dam

Name: .....

Flood Consequence Category: .....

Sunny Day Consequence Category: .....


### 3. Status of the Safety Management System [see Section 5 of DSC2A]

Key program elements	Meets the <i>normal</i> requirements of DSC2A?	Details of departures from <i>normal</i> SMS <sup>1</sup> 
<u>Inspections</u> (levels, frequency, trained staff, checklists, sign-off, retention)	<input type="checkbox"/> Yes  <input type="checkbox"/> No	
Monitoring (ANCOLD 2003-Table 5.3)	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Instrumentation	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Surveillance evaluation	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Security	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<u>Quality assurance</u>	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<u>Data file</u>	<input type="checkbox"/> Yes <input type="checkbox"/> No	

Key program elements	Meets the <i>normal</i> requirements of DSC2A?	Details of departures from <i>normal</i> SMS <sup>1</sup>
O&M manual	<input type="checkbox"/> Yes <input type="checkbox"/> No	
DSEP	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Documentation	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Education and training	<input type="checkbox"/> Yes <input type="checkbox"/> No	

(Note 1: Attach list if space is insufficient)

#### 4. Deviations Agreed by DSC

List deviations from the *normal* DSC requirements which have been agreed with the DSC (cite DSC advice date - attach list if space is insufficient) 

#### 5. Application for Deviations

If a deviation from the *normal* DSC requirements is sought in respect of any item, a submission setting out the reasons which are thought to justify the deviation is required. The DSC will either seek additional information or advise its decision as soon as reasonably practicable.

#### 6. Improvement of Safety Management System

List the activities and completion dates for achieving the *normal* DSC requirements or with other requirements agreed with the DSC. (Attach list if space is insufficient)

#### 7. Submitted for the Owner

Signed:

\_\_\_\_\_

Name:

\_\_\_\_\_

Position:

\_\_\_\_\_

Date:

\_\_\_\_\_